



UNITED STATES PATENT AND TRADEMARK OFFICE

(Case No. 01-491)

2152
PATENT #5
S.I.
04.22.02

IN THE APPLICATION OF:

David James Stevenson et al.

Serial No. 09/897,518

Filed: July 02, 2001

Title Apparatus And Method For
Processing Data Relating To Events
On A Network

Examiner: To be Assigned

Group Art Unit: 2152

Confirmation No.: 2537

BOX DD

Commissioner for Patents
Washington, D.C. 20231

Sir:

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Technology Center 2100

TRANSMITTAL LETTER

In regard to the above identified application:

1. We are transmitting herewith the attached:

- a. Supplemental Information Disclosure Statement;
- b. Supplemental Form PTO-1449;
- c. Copy of IDS Citations 09/897,518 (4 references);
- d. Return Receipt Postcard.

2. With respect to additional fees:

☒ No additional fee is required.

3. Please charge any additional fees or credit overpayment to Deposit Account No.13-2490. A duplicate copy of this sheet is enclosed.

4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Box DD, Washington, D.C. 20231 on this 18th day of December, 2001.

By :

Timothy R. Baumann

Reg. No. 40,502

McDONNELL BOEHNNEN,
HULBERT & BERGHOFF
300 SOUTH WACKER DRIVE
CHICAGO, ILLINOIS 60606
TELEPHONE (312) 913-0001



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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

BOX DD
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Dear Sir:

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references of record in the above-identified application. Copies of the references are enclosed. Copies are also listed in the PTO-1449 form enclosed herewith. It is requested that the documents be given careful consideration and that they be cited of record in the prosecution history of the present application so that they will appear on the face of the patent issuing from the present application.

Portions of the references may be material to the examination of the pending claims, however no such admission is intended. 37 C.F.R. 1.97 (h). The references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the

references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. sections 102 or 103, nor is this submission to be construed as a representation that a search has been made.

CITED REFERENCES

U.S. Patent Documents

Document Number	Date	Name	Class	Sub Class	Filing Date of App.
5,696,486	12/09/1997	Poliquin et al.	340	506	11/16/1995
5,828,830	10/27/1998	Rangarain et al.	395	185.01	10/30/1996

Foreign Patent Documents

Document Number	Date	Country	Class	Sub Class	Translation Yes/No
EP 1065894A1	01/03/2001	European	H04Q	3/00	


Other Documents

Citation
Lenoir et al., "Real-Time Transmission Network Management And Operation Support System", Commutation & Transmission, Vol. 12, No. 3, 1990, pages 87-98.

Respectfully submitted,

McDonnell Boehnen Hulbert & Berghoff

Date: 12/18/01

By: 
Timothy R. Baumann
Registration No. 40,502

Form PTO-1449 (modified)

Atty. Docket No.

01-491

Serial No.

09/897,518

List of Patents and Publications for Applicant's

Applicant

David James Stevenson et al.

SUPPLEMENTAL

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date:

July 02, 2001

Group:

2152

U.S. Patent Documents

See Page 1

Foreign Patent Documents

See Page 1

Other Art

See Page 2



U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	5,696,486	12/09/1997	Poliquin et al.	340	506	11/16/1995
	A2	5,828,830	10/27/1998	Rangarain et al.	395	185.01	10/30/1996
	A3						
	A4						
	A5						
	A6						
	A7						
	A8						
	A9						
	A10						

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	B1	EP 1065894A1	01/03/2001	European	H04Q	3/00	
	B2						
	B3						
	B4						
	B5						

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

Form PTO-1449 (modified)

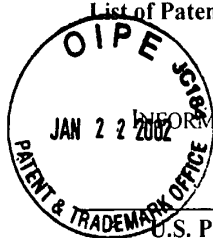
Atty. Docket No.

01-491

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SUPPLEMENTAL

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Applicant

David James Stevenson et al.

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U.S. Patent Documents

See Page 1

Foreign Patent Documents

See Page 1

Other Art

See Page 2

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	Lenoir et al., "Real-Time Transmission Network Management And Operation Support System", Commutation & Transmission, Vol. 12, No. 3, 1990, pages 87-98.
	C2	
	C3	
	C4	
	C5	
	C6	
	C7	
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